Review article

Improving Preparedness for and Response to Coronavirus Disease 19 (COVID-19) in Long-Term Care Hospitals in the Korea

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Running title: COVID-19 in Korean long-term care hospitals

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Abstract

Clusters of coronavirus disease 2019 (COVID-19) in long-term care facilities (LTCFs) have been widely reported in the Korea and around the world. COVID-19 has a high mortality rate, and older patients and those with underlying diseases are at particularly high risk of being infected. Outbreaks in LTCFs, where many high-risk patients reside, result in the occupation of beds meant for severely ill patients and even death in many patients. Long-term care hospitals (LTCH) are major LTCFs in Korea. Therefore, it is very important to protect patients in LTCHs from COVID-19. The reality is that preparedness for COVID-19 in LTCHs is inadequate, and there are barriers to improvements in preparedness. Through institutional improvement and policy support, the government should help healthcare personnel and LTCHs improve installation and raise the level of knowledge of infection prevention and control. With these improvement, prevention, surveillance, and screening for COVID-19 should be done and a response system to the COVID-19 outbreak should be established. There are many challenges that must be overcome to meet the goals proposed in this article. This may be possible only with a spirit of solidarity and cooperation of government, public and private healthcare facilities.

Keywords COVID-19; Long-term care facility; Preparedness
Introduction

Coronavirus disease 2019 (COVID-19) is an acute infectious respiratory disease caused by the severe acute respiratory syndrome coronavirus 2019 (SARS-CoV-2), which was first reported in Wuhan, China, on December 31, 2019 [1]. Since then, it was rapidly transmitted around the world. On March 11, 2020, the World Health Organization declared a global pandemic. As of April 2020, 2,314,621 cases of COVID-19 were reported worldwide, resulting in 157,847 deaths [2]. It is estimated that the actual number of cases is higher than that reported [3].

COVID-19 causes severe pneumonia in about 15% of infected patients [4]. It has a particularly high fatality rate for older adults. In Korea, the fatality rate was 2.0% in those aged 50 years or older and 8.5% in those aged 80 or older, while it was less than 0.1% in those aged 50 or younger [5]. Higher fatality rates were also reported in patients with underlying diseases such as cardiovascular disease, compared to patients without such diseases [6]. In long-term care facilities (LTCFs), the residents were mainly older adults and those with underlying diseases. Thus, individuals in LTCFs are at high risk of COVID-19. There are several news articles and reports of deaths associated with outbreaks in LTCFs around the world [7-10]. Long-term care hospitals (LTCHs), one of the LTCFs, play an important role in long-term care service in Korea. Therefore, it is very important to protect individuals in LTCHs from COVID-19 and to ensure that patients, caregivers, and healthcare workers in LTCHs respond appropriately when infected by SARS-CoV-2. This article aims to review the current preparedness of LTCHs in the fight against COVID-19, and propose strategies to protect LTCHs during the COVID-19 pandemic.
Current status of long-term care hospitals in Korea

A LTCH is a LTCF aimed at providing medical services to patients who need long term care due to illness or disability. Since it is categorized as a medical institution, it is under the control of National Health Insurance Service and is required to have more than 30 beds for hospitalization, one doctor per 40 patients, and one nurse per 6 patients [11]. Unlike a LTCH, it is not mandatory to provide medical service in a nursing home that aims to support activities of daily living life in older adults. As of 2018, there were 1,363 LTCHs in Korea, of which 33.5% (457/1,363) were located in Seoul, Gyeonggi, and Incheon [12].

As shown in Table 1, patients in LTCHs are graded according to their need for medical services, behavior problems, and level of cognitive impairment. Of the patients hospitalized in LTCHs, 49.6% are included in the cognitive impairment or physical deterioration groups; those patients do not necessarily require medical services [12]. As shown in Table 2, 63.1% (861/1,363) of LTCHs were listed as facilities with 100 – 200 beds and 13.0% (178/1,363) had fewer than 100 beds. The Ministry of Health and Welfare evaluated the appropriateness of medical services of LTCHs according to criteria for medical personnel, necessary personnel, medical procedures, and medical results. Based on the above evaluation, LTCHs were classified into one of five grades. In the 2017 evaluation, only 18.2% (248/1,363) of LTCHs were classified in the first grade; most were classified in the second (37.2%) or third grade (23.7%) [12]. In particular, the proportion of first grade LTCHs with fewer than 200 beds, which accounts for 80% of LTCHs, was lower than that of LTCHs with more than 200 beds (14.4% [150/1,039] in LTCHs with <200 beds vs. 30.2% [98/325] in LTCHs with ≥200 beds; P <0.01). The median number of patients per physician was 31.8 (interquartile range [IQR] 29.4 to 33.6), and the median number of patients per nurse was 10.5 (IQR, 8.4 to 12.6). These results do not meet the Ministry of Health and Welfare’s requirement [12]. The National Health Insurance Service pays a LTCH a set amount for each patient according to the LTCHs
As shown in Table 3, several clusters of COVID-19 outbreaks occurred in LTCHs across Korea. In particular, 126 cases were reported at Daegu Hansarang Nursing Hospital, and 72 at Gyeongsan Seo Nursing Hospital. When an outbreak occurs in an LTCH, infected patients need to be assigned to a high-risk bed; eventually, it is likely that beds meant for patients with severe COVID-19 will be filled quickly. There are only 27 beds for patients with severe COVID-19 in Gyeonggi Province. Just one outbreak of a cluster of COVID-19 in this province is enough to occupy all the beds for severe COVID-19.

A case of COVID-19 who worked as an nursing assistant in a long-term care hospital

As a member of the Expert Committee of Gyeonggi COVID-19 Emergency Response Task Force, I had a chance to oversee an emergency response to a COVID-19 outbreak in a LTCH. Information on epidemiological investigation and emergency response is accessible at the website of Bucheon COVID-19 status [13]. On March 9, 2020, a cluster outbreak was reported at a call center in a building in Guro, in Seoul. On March 8, 2020, an infected worker from the building had a service at a church located in Bucheon. After contact tracing, it was found that one of contact persons in this church were working as a nursing assistant at a LTCH; she tested as positive on March 12, 2020. She worked on March 10 and 11, 2020 at the LTCH and was then isolated from March 12, 2020. The infected nurse assistant did not complain of any symptoms and said that she had been wearing a mask and surgical gloves during patient care. This LTCH was spread over floors 2 through 5 in a five-story building
that had a basement floor for parking. As of the confirmed date, there were 142 patients and 85 healthcare workers, including caregivers. Six to ten patients had been assigned to each room, and bed distance had been less than one meter apart. All patients and 22 healthcare workers were considered contact persons because the infected nurse assistant worked on all floors. The third and fourth floor were considered a highly contaminated zone, because the infected nurse assistant spent more time on those floors. The close contact patients who were in dischargeable condition and 16 healthcare workers were isolated at home. Of the patients who were hospitalized on the second and fifth floor, 73 were transferred to public hospitals and nearby private acute care hospitals. The remaining patients were in the isolation cohort after the beds were repositioned to maintain a bed distance of 2 m or more. Caregivers were also in the isolation cohort in each room for patient care, and their in-hospital movement was restricted. Fourteen nurses and nurse assistants volunteered to be quarantined in the LTCH for patient care.

The layout of the space and movement flow are illustrated in Figure 1. Cohort nurses and nurse assistants stayed in the space on the third floor that was separated from the patients’ rooms. After disinfection, the second floor was used as a green zone for the movement of healthcare workers who had not been in contact with an infected person and who wore personal protective equipment (PPE). The parking lot on the first basement floor was temporarily used for removing PPEs. Visitors were prohibited from using the elevator, which was only used for medical personnel wearing PPE. Visitors were allowed to use the stairs to go to the bank and the private medical clinic located on the first and second floors., respectively. Meals for patients and employees were provided from outside. Fortunately, there were no more infected persons, and the quarantine was dismissed after all patients and employees tested negative 14 days from the start of quarantine.
Obstacles to preventing outbreaks in long-term care hospitals in Korea

It would be very difficult to protect LTCHs from COVID-19 and rapidly respond to such an outbreak of COVID-19 in LTCHs owing to many systematical reasons. There are many patients in each room in beds that are close to each other; this seems to be a favorable condition for patient-to-patient transmission. There is insufficient space for isolation, quarantine, and grouping into cohorts in a LTCH. A previous survey done in 2018 reported that there were isolation rooms and negative pressure rooms in 58.5% (121/207) and 0.5% (1/208) in nursing hospitals in Korea [14]. Transfers of patients who have come in contact with infected persons are not easily accepted by other hospitals, owing to inadequate facilities for quarantine combined with the great fear of contamination. Knowledge among employees regarding infection control and prevention (IPC) in the LTCHs seems to be poor. Previous studies showed that infection control managers in LTCHs needed education on IPC [14, 15]. The IPC team in my hospital should have educated nurses about the basics, such as disinfection, as well as putting on and taking off PPE. Additionally, caregivers who had closely cared for patients in the nursing hospital had no knowledge of the basics of IPC. In the event of an outbreak of COVID-19 in a LTCH, it is unclear who will communicate with the epidemiological investigator team and make decisions for rapid response. A building that is not built to provide medical service is not equipped with air ventilation; opening windows is the only the way to ventilate a room. Additionally, the movement of visitors and employees is hard to control, because a LTCH is also home to other private facilities. The COVID-19 test is not available at all LTCFs, because there is no safe installation or personnel trained in specimen collection, and no system for delivering specimens [16]. In the case, a regional public health community center have managed all the steps for testing for COVID-19 instead of a LTCH.
Suggestions for protecting individuals in long-term care hospitals from COVID-19

In Figure 2, suggestions for protecting individuals in LTCHs from COVID-19 are summarized. Improvement of installation and knowledge of IPC, which should be guided by institutional improvement and policy support, will strengthen the various aspects of preparedness, such as prevention, screening, surveillance, and rapid response action against COVID-19.

Currently, IPC is limited in LTCHs. This is inevitable given the increase in the population of older adults [17]. In addition, it is also due to institutional problems that can be ameliorated. First, many patients are forced to live in LTCHs, because family members are unable to take care of patients at home by themselves. The number of healthcare facilities providing hospital-based home care service are decreasing [18]. This is the major reason for overcrowding in LTCHs. A support policy could be implemented including home care staff members and visiting caregivers, and the government should guide patients to choose home care service rather than LTCHs [19]. Second, a payment system by which a LTCH receives a set amount of money per patient per day, forces it to hospitalize as many patients as they possibly can. Under the current payment system, managers of LTCHs place as many beds as possible in a room; as such, they cannot invest in installation and personnel for IPC [20, 21]. The current payment system ought to, therefore, undergo reform to encourage preparedness for COVID-19 outbreaks. A special payment for IPC should be charged and LTCHs should be monitored to ensure proper use of the resources. Third, local governments should systematically match a LTCH with a regional acute care hospital that has an IPC expert because most LTCHs are unable to educate and train employees themselves [17]. The government should also provide an educational system for healthcare workers in LTCHs [17].
A higher installation rate of hygiene-related facilities are more likely to have better healthcare quality [22]. Infection control managers consider lack of isolation rooms as major obstacle for IPC in LTCHs [21]. The distance between beds should be widened to reduce contact and transmission of infections. Separate rooms for isolation and specimen collection should be established, and assigning rooms for newly hospitalized patients or patients with fever and respiratory symptoms are recommended to help reduce the possibility of transmission [23]. If possible, air ventilating systems are recommended to be installed for safe. Additionally, LTCHs should have their own testing units.

Regarding the knowledge of IPC, each LTCH should have its own infection control manager. The infection control manager should complete a course in IPC education provided by an academic institution, an acute care hospital, or the government. The content of education should reflect the reality of LTCHs [21]. The duties of infection control managers should be as follows: (1) to provide knowledge on IPC to employees, (2) to monitor and report suspected symptoms in patients and staff, (3) to plan emergency response actions and conduct training, and (4) to communicate with an epidemiologic investigator in case of a COVID-19 outbreak in their LTCH. The IPC team from the acute care hospital that is matched with LTCHs should regularly inspect the LTCHs and provide consultation on IPC.

The important means of preventing COVID-19 in LTCHs are preemptive isolation, screening, and testing; similar to that in a community setting. Patients should be screened for symptoms and signs of infection when they are hospitalized. Where possible, nursing hospitals should consider preemptive cohort rooms for monitoring of patients who were newly hospitalized during a possible incubation period [23]. If a patient has suspected symptoms and signs, this patient should be preemptively placed in an isolating room and be tested for COVID-19. Employees should report their symptoms and signs to an infection control manager before and during work [23, 24]. At least twice a day, caregivers should also
notify an infection control manager of symptoms they do or do not have [23, 24]. Employees
or caregivers with symptoms and signs should be isolated and allowed to come back to work
only after a negative test result is confirmed. The government should find ways to financially
support those staff members who are isolated and restricted from working. We can expect
screening of patients, employees, and caregivers in LTCHs to be a surveillance system.

To minimize damage to a LTCH in case of a COVID-19 outbreak, response action must be
rapid and appropriate. For this, the LTCH must prepare itself for emergency response action
in which the role of every member is clearly assigned in advance. The decision should be
made quickly under the direction of an epidemiology investigator who classifies those who
are infected, those who have come in contact with infected persons, and those who have had
no contact with infected persons. In a previous report, 63% (48/76) of residents in a nursing
facility found asymptomatic in point-prevalence test [25]. This suggests that screening test
for all patients should be considered in the case of COVID-19 in a LTCF [26]. If a nursing
hospital lacks testing capability, it must be supported by the public health center. Patients
with COVID-19 should be transferred in accordance with the bed allocation principle. If a
patient’s intention to refuse life-saving treatment such as a mechanical ventilator is confirmed
before hospitalization, the demand for beds for severe COVID-19 will be reduced. With the
cooperation of acute care hospitals in the region, the government should secure beds for
contact and non-contact patients who need to be transferred from a nursing hospital where an
outbreak of COVID-19 has occurred. To prevent patient-to-patient transmission inside the
LTCH, the transfer should be done promptly and according to the emergency response action
plan. In addition, the local government should establish plans to deploy infection control
experts and substitute personnel to support a LTCH where an outbreak of COVID-19 has
occurred.
Conclusion

It seems impossible to prevent cluster outbreaks in LTCHs without installation of well-equipped facilities and trained staff members. There is a concern that cluster outbreaks in LTCHs result in many deaths and rapid occupation of beds meant for patients with severe COVID-19. Taking this situation seriously, the government should provide institutional and policy support to ensure that LTCHs are prepared for COVID-19 outbreaks. This may be possible only with a spirit of solidarity and cooperation of government, public and private healthcare facilities.

Acknowledgments

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Conflicts of interest

No conflicts of interest

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References


14. Kim OS, Jeong SY, Kim JY, So YR. Status of infection control and educational needs of


<table>
<thead>
<tr>
<th>Level</th>
<th>Criteria</th>
<th>Cases (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highest medical service</td>
<td>Critical patient level including comatose, mechanical ventilator, internal bleeding</td>
<td>10,910 (1.5)</td>
</tr>
<tr>
<td>High medical service</td>
<td>Very low ADL, refractory neurological disease, or pressure sore</td>
<td>180,167 (25.6)</td>
</tr>
<tr>
<td>Medium medical service</td>
<td>Patient hospitalized in general room, low ADL, or with refractory neurological disease</td>
<td>145,666 (20.7)</td>
</tr>
<tr>
<td>Low medical service</td>
<td>Patient receiving professional rehabilitation services other than the above mentioned criteria</td>
<td>12,722 (1.8)</td>
</tr>
<tr>
<td>Problematic behavior</td>
<td>Delirum, hallucination, anxiety, wandering etc.</td>
<td>5,617 (0.8)</td>
</tr>
<tr>
<td>Cognitive dysfunction</td>
<td>Cognive dysfunction clearly identified</td>
<td>279,630 (39.7)</td>
</tr>
<tr>
<td>Physical deterioration</td>
<td>Cases other than the abov ementioned criteria</td>
<td>69,743 (9.9)</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>704,475 (100.0)</td>
</tr>
</tbody>
</table>


ADL, activities of daily living.
Table 2. Number and proportion of long-term care hospitals in Korea by grade and number of beds

<table>
<thead>
<tr>
<th>Number of beds</th>
<th>500 (n [%])</th>
<th>400 – 500 (n [%])</th>
<th>300 – 400 (n [%])</th>
<th>200 – 300 (n [%])</th>
<th>100 – 200 (n [%])</th>
<th>&lt;100 (n [%])</th>
</tr>
</thead>
<tbody>
<tr>
<td>1st grade</td>
<td>5 (29.4)</td>
<td>5 (17.2)</td>
<td>19 (22.4)</td>
<td>69 (35.6)</td>
<td>137 (15.9)</td>
<td>13 (7.3)</td>
</tr>
<tr>
<td>2nd grade</td>
<td>7 (41.2)</td>
<td>18 (62.1)</td>
<td>41 (48.1)</td>
<td>74 (38.1)</td>
<td>338 (39.3)</td>
<td>30 (16.9)</td>
</tr>
<tr>
<td>3rd grade</td>
<td>4 (23.5)</td>
<td>5 (17.2)</td>
<td>19 (22.4)</td>
<td>35 (18.1)</td>
<td>221 (25.7)</td>
<td>39 (21.9)</td>
</tr>
<tr>
<td>4th grade</td>
<td>1 (5.9)</td>
<td>1 (3.5)</td>
<td>5 (5.9)</td>
<td>9 (4.6)</td>
<td>93 (10.8)</td>
<td>34 (19.1)</td>
</tr>
<tr>
<td>5th grade</td>
<td>0 (0.0)</td>
<td>0 (0.0)</td>
<td>1 (1.2)</td>
<td>6 (3.1)</td>
<td>46 (5.3)</td>
<td>31 (17.4)</td>
</tr>
<tr>
<td>Not graded</td>
<td>0 (0.0)</td>
<td>0 (0.0)</td>
<td>0 (0.0)</td>
<td>1 (0.5)</td>
<td>26 (3.0)</td>
<td>31 (17.4)</td>
</tr>
<tr>
<td>Total</td>
<td>17 (100.0)</td>
<td>29 (100.0)</td>
<td>85 (100.0)</td>
<td>194 (100.0)</td>
<td>861 (100.0)</td>
<td>178 (100.0)</td>
</tr>
</tbody>
</table>

*Report by the Health Insurance Review and Assessment Service on the seventh evaluation of adequacy of inpatient payment in long-term care hospitals in 2018*
Table 3. Current status of clusters of coronavirus disease 19 (COVID-19) in long-term care hospitals in Korea

<table>
<thead>
<tr>
<th>Region</th>
<th>Name</th>
<th>Date of first patient diagnosis</th>
<th>Total number of patients per facility</th>
</tr>
</thead>
<tbody>
<tr>
<td>Daegu</td>
<td>Gimshin Nursing Hospital</td>
<td>February 24, 2020</td>
<td>46</td>
</tr>
<tr>
<td>Daegu</td>
<td>Shiji Elderly Nursing Hospital</td>
<td>March 6, 2020</td>
<td>16</td>
</tr>
<tr>
<td>Daegu</td>
<td>Hansarang Nursing Hospital</td>
<td>March 17, 2020</td>
<td>126</td>
</tr>
<tr>
<td>Daegu</td>
<td>Daesil Nursing Hospital</td>
<td>March 17, 2020</td>
<td>98</td>
</tr>
<tr>
<td>Gyeongsan, Gyeongsanbuk-do</td>
<td>Seo Nursing Hospital</td>
<td>March 19, 2020</td>
<td>72</td>
</tr>
</tbody>
</table>
Figure 1. Schema of isolation cohort of contact patients and staff members in a case of coronavirus disease 2019 (COVID-19) working as a nurse assistant in a long-term care hospital.

The green arrow represents the movement flow for healthcare workers wearing personal protective equipment (PPE) and the red arrow represents the movement flow after patient care. Nurse and nurse assistant cohorts stayed in a space that was separated from the patients’ rooms on the third floor. After disinfection, the second floor was used as a green zone for wearing PPE and working place for health care workers who had not had contact with a nursing assistant with COVID-19. The parking lot on the first basement floor was temporarily used for removing PPE. Visitors were forbidden to use the elevator, which was reserved for medical personnel wearing PPE. Visitors were allowed to use the stairs to go to the bank and the private medical clinic, which were located on the first and second floors (The blue arrow).
**Institution & Policy**
- Reform of insurance payment
- Support of home care
- Infection control payment
- Matching of LTCHs and ACH by local government
- Educational support system by government

**Installation of IPC-related facilities**
- Widen bed distance
- Rooms for isolation, quarantine, and cohort
- Air ventilating system
- Capability for test

**Knowledge on IPC**
- Infection control manager
- In-facility and out-of-facility education for HCW and caregiver
- Training for emergency response
- Inspection by outside consultation

**Prevention, screening, & surveillance**
- Restriction of visitors
- Preemptive cohort for 2 weeks after hospitalization
- Symptom monitor and screening test for patients, HCW, and caregivers
- Symptom-based preemptive cohort and restriction of work

**Response**
- In-facility emergency response organization
- Bed assignment of patients with COVID-19 based on end-of-life decision
- Reserving beds for transfer of contact and non-contact patients
- Expert consultation and supporting personnel by government and ACH
Figure 2. Schematic diagram of suggestions for preparedness for and response to coronavirus disease 2019 (COVID-19) in long-term care hospitals in Korea

LTCH, long-term care hospitals; ACH, acute care hospitals; IPC, infection prevention and control; HCW, healthcare workers.